

CÔNG TY TNHH CHỨNG KHOÁN ACB
ACB SECURITIES COMPANY

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
THE SOCIALIST REPUBLIC OF VIETNAM

Độc lập - Tự do - Hạnh phúc
Independence - Freedom - Happiness

Số: ...107.1./CV-ACBS.26
No: ...107.1./CV-ACBS.26

TP. HCM, ngày 19 tháng 6 năm 2026
HCMC, June 19, 2026

CÔNG BỐ THÔNG TIN BẤT THƯỜNG
EXTRAORDINARY INFORMATION DISCLOSURE

Kính gửi/ To: Ủy ban chứng khoán Nhà nước/ *The State Securities Commission of Vietnam;*
Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Exchange;*
Sở Giao dịch Chứng khoán Hà Nội/ *Hanoi Stock Exchange;*
Sở Giao dịch Chứng khoán TP. Hồ Chí Minh/ *Hochiminh Stock Exchange.*

1. Tên tổ chức: **Công ty TNHH Chứng khoán ACB**

Name of organization: ACB SECURITIES COMPANY

- Mã chứng khoán/Mã thành viên/ *Stock code/ Broker code: 006*

- Địa chỉ: *Tầng 3 Tòa nhà Léman Luxury, số 117 Nguyễn Đình Chiểu, phường Xuân Hòa, TP. Hồ Chí Minh.*

Address: 3rd Floor, Léman Luxury Building, 117 Nguyen Dinh Chieu Street, Xuan Hoa Ward, HCMC (Formerly: 3rd Floor, Léman Luxury Building, 117 Nguyen Dinh Chieu Street, Vo Thi Sau Ward, District 3, HCMC)

- Điện thoại: (028) 7300 7000

Fax: (028) 7300 3751

Telephone: (028) 7300 7000

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- E-mail: *cbtt@acbs.com.vn*

2. Nội dung thông tin công bố/ **Contents of disclosure:**

Ngày 19/06/2026, Công ty TNHH Chứng khoán ACB (ACBS) nhận được các Quyết định của Ủy ban Chứng khoán Nhà nước về việc sửa đổi Quyết định chấp thuận thành lập chi nhánh/ phòng giao dịch công ty chứng khoán, cập nhật địa điểm chi nhánh/ phòng giao dịch do thay đổi địa giới hành chính như sau:

On June 19, 2026, ACB Securities Company (ACBS) received Decisions issued by the State Securities Commission of Viet Nam regarding amendments to the Decisions approving the establishment of securities company branches/ transaction office, updating the branch/ transaction office addresses due to changes in administrative boundaries, as follows:

1. Quyết định số 354/QĐ-UBCK ngày 15/6/2026 sửa đổi Quyết định chấp thuận lập chi nhánh Công ty TNHH Chứng khoán ACB – Chi nhánh Chợ Lớn;

Decision No. 354/QĐ-UBCK dated June 15, 2026 amending the Decision approving the establishment of ACB Securities Company – Cho Lon Branch;



2. Quyết định số 355/QĐ-UBCK ngày 15/6/2026 sửa đổi Quyết định chấp thuận lập chi nhánh Công ty TNHH Chứng khoán ACB – Chi nhánh Vũng Tàu;
Decision No. 355/QĐ-UBCK dated June 15, 2026 amending the Decision approving the establishment of ACB Securities Company – Vung Tau Branch
3. Quyết định số 356/QĐ-UBCK ngày 15/6/2026 sửa đổi Quyết định chấp thuận lập chi nhánh Công ty TNHH Chứng khoán ACB – Chi nhánh Hà Nội;
Decision No. 356/QĐ-UBCK dated June 15, 2026 amending the Decision approving the establishment of ACB Securities Company – Ha Noi Branch;
4. Quyết định số 358/QĐ-UBCK ngày 16/6/2026 sửa đổi Quyết định chấp thuận lập chi nhánh Công ty TNHH Chứng khoán ACB – Chi nhánh Đà Nẵng;
Decision No. 358/QĐ-UBCK dated June 16, 2026 amending the Decision approving the establishment of ACB Securities Company – Da Nang Branch;
5. Quyết định số 359/QĐ-UBCK ngày 16/6/2026 sửa đổi Quyết định chấp thuận lập chi nhánh Công ty TNHH Chứng khoán ACB – Chi nhánh Cần Thơ;
Decision No. 359/QĐ-UBCK dated June 16, 2026 amending the Decision approving the establishment of ACB Securities Company – Can Tho Branch;
6. Quyết định số 360/QĐ-UBCK ngày 16/6/2026 sửa đổi Quyết định chấp thuận lập chi nhánh Công ty TNHH Chứng khoán ACB – Chi nhánh Khánh Hòa;
Decision No. 360/QĐ-UBCK dated June 16, 2026 amending the Decision approving the establishment of ACB Securities Company – Khanh Hoa Branch;
7. Quyết định số 361/QĐ-UBCK ngày 16/6/2026 sửa đổi Quyết định chấp thuận lập chi nhánh Công ty TNHH Chứng khoán ACB – Chi nhánh Hải Phòng;
Decision No. 361/QĐ-UBCK dated June 16, 2026 amending the Decision approving the establishment of ACB Securities Company – Hai Phong Branch;
8. Quyết định số 362/QĐ-UBCK ngày 16/6/2026 sửa đổi Quyết định chấp thuận lập phòng giao dịch Công ty TNHH Chứng khoán ACB – Phòng giao dịch Nguyễn Thị Minh Khai.
Decision No. 362/QĐ-UBCK dated June 16, 2026 amending the Decision approving the establishment of ACB Securities Company – Nguyen Thi Minh Khai Transaction Office.

Chi tiết theo file đính kèm/ Details in attached file.

3. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 19/6/2026 tại đường dẫn <http://acbs.com.vn>.

This information was published on the company's website on June 19, 2026, as in the link <http://acbs.com.vn>.

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.

Tài liệu đính kèm/Attached documents:


Tài liệu liên quan đến nội dung thông tin công bố/ *Documents on disclosed information.*

Đại diện tổ chức
Organization representative

Người được UQ CBTT

Person authorized to disclose information

Giám đốc Nhân sự

Chief Human Resources Officer 



Võ Đình Nam





MINISTRY OF FINANCE
STATE SECURITIES COMMISSION OF
VIET NAM

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No. 354/QĐ-UBCK

Hanoi, June 15, 2026

DECISION

On the Amendment of the Decision Approving the Establishment of a Securities Company Branch

STATE SECURITIES COMMISSION OF VIET NAM

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/NĐ-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated September 11, 2025;

Pursuant to Decision No. 686/QĐ-BTC dated February 28, 2025 of the Minister of Finance defining the functions, duties, powers and organizational structure of the State Securities Commission of Viet Nam;

Pursuant to Securities Business Operation License No. 06/GPHDKD dated June 29, 2000 granted by the Chairman of the State Securities Commission to ACB Securities Company;

Pursuant to Decision No. 237/QĐ-UBCK dated April 15, 2010 of the Chairman of the State Securities Commission approving the establishment of a branch of a securities company, whereby ACB Securities Company was approved to establish ACB Securities Company – Cho Lon Branch;

Pursuant to the application dossier for updating information on the location of Cho Lon Branch submitted by ACB Securities Company due to changes in administrative boundaries;

At the proposal of the Director of the Securities Business Management Department.

DECIDES:

Article 1. To amend Decision No. 237/QĐ-UBCK dated April 15, 2010 of the Chairman of the State Securities Commission approving the establishment of a securities company branch, whereby ACB Securities Company was approved to establish ACB Securities Company – Cho Lon Branch, with the following amendment:

Branch location: 321–323 Tran Phu Street, An Dong Ward, Ho Chi Minh City.

Article 2. This Decision shall take effect from the date of signing and shall constitute an integral part of Securities Business Operation License No. 06/GPHDKD dated June 29, 2000 granted to ACB

Securities Company and Decision No. 237/QĐ-UBCK dated April 15, 2010 of the Chairman of the State Securities Commission approving the establishment of a securities company branch, whereby ACB Securities Company was approved to establish ACB Securities Company – Cho Lon Branch.

Article 3. The Chief of Office, Director of the Securities Business Management Department, Chief Executive Officer of the Vietnam Exchange, Chief Executive Officers of the Ho Chi Minh City Stock Exchange and Hanoi Stock Exchange, Chief Executive Officer of the Vietnam Securities Depository and Clearing Corporation, ACB Securities Company, and related parties shall be responsible for the implementation of this Decision./.

Recipients:

- As stated in Article 3;
- Chairman (for reporting)
- Filed at: Office, Securities Business Management Department

**FOR THE CHAIRMAN
VICE CHAIRMAN**

(signed and sealed)

Le Thi Viet Nga



MINISTRY OF FINANCE
STATE SECURITIES COMMISSION OF
VIET NAM

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No. 355/QĐ-UBCK

Hanoi, June 15, 2026

DECISION

On the Amendment of the Decision Approving the Establishment of a Securities Company Branch

STATE SECURITIES COMMISSION OF VIET NAM

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/NĐ-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated September 11, 2025;

Pursuant to Decision No. 686/QĐ-BTC dated February 28, 2025 of the Minister of Finance defining the functions, duties, powers and organizational structure of the State Securities Commission of Viet Nam;

Pursuant to Securities Business Operation License No. 06/GPHDKD dated June 29, 2000 granted by the Chairman of the State Securities Commission to ACB Securities Company;

Pursuant to Decision No. 267/QĐ-UBCK dated April 21, 2010 of the Chairman of the State Securities Commission approving the establishment of a branch of a securities company, whereby ACB Securities Company was approved to establish ACB Securities Company – Vung Tau Branch;

Pursuant to the application dossier for updating information on the location of Vung Tau Branch submitted by ACB Securities Company due to changes in administrative boundaries;

At the proposal of the Director of the Securities Business Management Department.

DECIDES:

Article 1. To amend Decision No. 267/QĐ-UBCK dated April 21, 2010 of the Chairman of the State Securities Commission approving the establishment of a securities company branch, whereby ACB Securities Company was approved to establish ACB Securities Company – Vung Tau Branch, with the following amendment:

Branch location: No. 111 Hoang Hoa Tham, Vung Tau Ward, Ho Chi Minh City.

Article 2. This Decision shall take effect from the date of signing and shall constitute an integral part of Securities Business Operation License No. 06/GPHDKD dated June 29, 2000 granted to ACB

Securities Company and Decision No. 267/QĐ-UBCK dated April 21, 2010 of the Chairman of the State Securities Commission approving the establishment of a securities company branch, whereby ACB Securities Company was approved to establish ACB Securities Company – Vung Tau Branch.

Article 3. The Chief of Office, Director of the Securities Business Management Department, Chief Executive Officer of the Vietnam Exchange, Chief Executive Officers of the Ho Chi Minh City Stock Exchange and Hanoi Stock Exchange, Chief Executive Officer of the Vietnam Securities Depository and Clearing Corporation, ACB Securities Company, and related parties shall be responsible for the implementation of this Decision./.

Recipients:

- As stated in Article 3;
- Chairman (for reporting)
- Filed at: Office, Securities Business Management Department (10b)

**FOR THE CHAIRMAN
VICE CHAIRMAN**

(signed and sealed)

Le Thi Viet Nga



MINISTRY OF FINANCE
STATE SECURITIES COMMISSION OF
VIET NAM

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No. 356/QĐ-UBCK

Ha Noi, June 15, 2026

DECISION

On the Amendment of the Decision Approving the Establishment of a Securities Company Branch

STATE SECURITIES COMMISSION OF VIET NAM

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/NĐ-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated September 11, 2025;

Pursuant to Decision No. 686/QĐ-BTC dated February 28, 2025 of the Minister of Finance defining the functions, duties, powers and organizational structure of the State Securities Commission of Viet Nam;

Pursuant to Securities Business Operation License No. 06/GPHDKD dated June 29, 2000 granted by the Chairman of the State Securities Commission to ACB Securities Company;

Pursuant to Decision No. 75/QĐ-UBCK dated December 12, 2001 of the Chairman of the State Securities Commission approving the establishment of a branch of a securities company, whereby ACB Securities Company was approved to establish ACB Securities Company – Ha Noi Branch;

Pursuant to the application dossier for updating information on the location of Ha Noi Branch submitted by ACB Securities Company due to changes in administrative boundaries;

At the proposal of the Director of the Securities Business Management Department.

DECIDES:

Article 1. To amend Decision No. 75/QĐ-UBCK dated December 12, 2001 of the Chairman of the State Securities Commission approving the establishment of a securities company branch, whereby ACB Securities Company was approved to establish ACB Securities Company – Ha Noi Branch, with the following amendment:

Branch location: No. 10 Phan Chu Trinh, Cua Nam Ward, Ha Noi.

Article 2. This Decision shall take effect from the date of signing and shall constitute an integral part of Securities Business Operation License No. 06/GPHDKD dated June 29, 2000 granted to ACB

Securities Company and Decision No. 75/QD-UBCK dated December 12, 2001 of the Chairman of the State Securities Commission approving the establishment of a securities company branch, whereby ACB Securities Company was approved to establish ACB Securities Company – Ha Noi Branch.

Article 3. The Chief of Office, Director of the Securities Business Management Department, Chief Executive Officer of the Vietnam Exchange, Chief Executive Officers of the Ho Chi Minh City Stock Exchange and Hanoi Stock Exchange, Chief Executive Officer of the Vietnam Securities Depository and Clearing Corporation, ACB Securities Company, and related parties shall be responsible for the implementation of this Decision./.

Recipients:

- As stated in Article 3;
- Chairman (for reporting)
- Filed at: Office, Securities Business Management Department (10b)

**FOR THE CHAIRMAN
VICE CHAIRMAN**

(signed and sealed)

Le Thi Viet Nga



MINISTRY OF FINANCE
STATE SECURITIES COMMISSION OF
VIET NAM

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No. 358/QĐ-UBCK

Ha Noi, June 16, 2026

DECISION

On the Amendment of the Decision Approving the Establishment of a Securities Company Branch

STATE SECURITIES COMMISSION OF VIET NAM

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/NĐ-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated September 11, 2025;

Pursuant to Decision No. 686/QĐ-BTC dated February 28, 2025 of the Minister of Finance defining the functions, duties, powers and organizational structure of the State Securities Commission of Viet Nam;

Pursuant to Securities Business Operation License No. 06/GPHDKD dated June 29, 2000 granted by the Chairman of the State Securities Commission to ACB Securities Company;

Pursuant to Decision No. 266/QĐ-UBCK dated April 21, 2010 of the Chairman of the State Securities Commission approving the establishment of a branch of a securities company, whereby ACB Securities Company was approved to establish ACB Securities Company – Da Nang Branch;

Pursuant to the application dossier for updating information on the location of Da Nang Branch submitted by ACB Securities Company due to changes in administrative boundaries;

At the proposal of the Director of the Securities Business Management Department.

DECIDES:

Article 1. To amend Decision No. 266/QĐ-UBCK dated April 21, 2010 of the Chairman of the State Securities Commission approving the establishment of a securities company branch, whereby ACB Securities Company was approved to establish ACB Securities Company – Da Nang Branch, with the following amendment:

Branch location: No. 218 Bach Dang, Hai Chau Ward, Da Nang City.

Article 2. This Decision shall take effect from the date of signing and shall constitute an integral part of Securities Business Operation License No. 06/GPHDKD dated June 29, 2000 granted to ACB

Securities Company and Decision No. 266/QĐ-UBCK dated April 21, 2010 of the Chairman of the State Securities Commission approving the establishment of a securities company branch, whereby ACB Securities Company was approved to establish ACB Securities Company – Da Nang Branch.

Article 3. The Chief of Office, Director of the Securities Business Management Department, Chief Executive Officer of the Vietnam Exchange, Chief Executive Officers of the Ho Chi Minh City Stock Exchange and Hanoi Stock Exchange, Chief Executive Officer of the Vietnam Securities Depository and Clearing Corporation, ACB Securities Company, and related parties shall be responsible for the implementation of this Decision./.

Recipients:

- As stated in Article 3;
- Chairman (for reporting)
- Filed at: Office, Securities Business Management Department (10b)

**FOR THE CHAIRMAN
VICE CHAIRMAN**

(signed and sealed)

Le Thi Viet Nga



MINISTRY OF FINANCE
STATE SECURITIES COMMISSION OF
VIET NAM

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No. 359/QĐ-UBCK

Ha Noi, June 16, 2026

DECISION

On the Amendment of the Decision Approving the Establishment of a Securities Company Branch

STATE SECURITIES COMMISSION OF VIET NAM

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/NĐ-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated September 11, 2025;

Pursuant to Decision No. 686/QĐ-BTC dated February 28, 2025 of the Minister of Finance defining the functions, duties, powers and organizational structure of the State Securities Commission of Viet Nam;

Pursuant to Securities Business Operation License No. 06/GPHĐKD dated June 29, 2000 granted by the Chairman of the State Securities Commission to ACB Securities Company;

Pursuant to Decision No. 235/QĐ-UBCK dated April 14, 2010 of the Chairman of the State Securities Commission approving the establishment of a branch of a securities company, whereby ACB Securities Company was approved to establish ACB Securities Company – Can Tho Branch;

Pursuant to the application dossier for updating information on the location of Can Tho Branch submitted by ACB Securities Company due to changes in administrative boundaries;

At the proposal of the Director of the Securities Business Management Department.

DECIDES:

Article 1. To amend Decision No. 235/QĐ-UBCK dated April 14, 2010 of the Chairman of the State Securities Commission approving the establishment of a securities company branch, whereby ACB Securities Company was approved to establish ACB Securities Company – Can Tho Branch, with the following amendment:

Branch location: No. 17-19 Nam Ky Khoi Nghia, Ninh Kieu Ward, Can Tho City.

Article 2. This Decision shall take effect from the date of signing and shall constitute an integral part of Securities Business Operation License No. 06/GPHĐKD dated June 29, 2000 granted to ACB

Securities Company and Decision No. 235/QĐ-UBCK dated April 14, 2010 of the Chairman of the State Securities Commission approving the establishment of a securities company branch, whereby ACB Securities Company was approved to establish ACB Securities Company – Can Tho Branch.

Article 3. The Chief of Office, Director of the Securities Business Management Department, Chief Executive Officer of the Vietnam Exchange, Chief Executive Officers of the Ho Chi Minh City Stock Exchange and Hanoi Stock Exchange, Chief Executive Officer of the Vietnam Securities Depository and Clearing Corporation, ACB Securities Company, and related parties shall be responsible for the implementation of this Decision./.

Recipients:

- As stated in Article 3;
- Chairman (for reporting)
- Filed at: Office, Securities Business Management Department (10b)

**FOR THE CHAIRMAN
VICE CHAIRMAN**

(signed and sealed)

Le Thi Viet Nga



MINISTRY OF FINANCE
STATE SECURITIES COMMISSION OF
VIET NAM

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No. 360/QĐ-UBCK

Ha Noi, June 16, 2026

DECISION

On the Amendment of the Decision Approving the Establishment of a Securities Company Branch

STATE SECURITIES COMMISSION OF VIET NAM

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/NĐ-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated September 11, 2025;

Pursuant to Decision No. 686/QĐ-BTC dated February 28, 2025 of the Minister of Finance defining the functions, duties, powers and organizational structure of the State Securities Commission of Viet Nam;

Pursuant to Securities Business Operation License No. 06/GPHDKD dated June 29, 2000 granted by the Chairman of the State Securities Commission to ACB Securities Company;

Pursuant to Decision No. 260/QĐ-UBCK dated April 19, 2010 of the Chairman of the State Securities Commission approving the establishment of a branch of a securities company, whereby ACB Securities Company was approved to establish ACB Securities Company – Khanh Hoa Branch;

Pursuant to the application dossier for updating information on the location of Khanh Hoa Branch submitted by ACB Securities Company due to changes in administrative boundaries;

At the proposal of the Director of the Securities Business Management Department.

DECIDES:

Article 1. To amend Decision No. 260/QĐ-UBCK dated April 19, 2010 of the Chairman of the State Securities Commission approving the establishment of a securities company branch, whereby ACB Securities Company was approved to establish ACB Securities Company – Khanh Hoa Branch, with the following amendment:

Branch location: No. 80 Quang Trung, Nha Trang Ward, Khanh Hoa Province.

Article 2. This Decision shall take effect from the date of signing and shall constitute an integral part of Securities Business Operation License No. 06/GPHDKD dated June 29, 2000 granted to ACB

Securities Company and Decision No. 260/QĐ-UBCK dated April 19, 2010 of the Chairman of the State Securities Commission approving the establishment of a securities company branch, whereby ACB Securities Company was approved to establish ACB Securities Company – Khanh Hoa Branch.

Article 3. The Chief of Office, Director of the Securities Business Management Department, Chief Executive Officer of the Vietnam Exchange, Chief Executive Officers of the Ho Chi Minh City Stock Exchange and Hanoi Stock Exchange, Chief Executive Officer of the Vietnam Securities Depository and Clearing Corporation, ACB Securities Company, and related parties shall be responsible for the implementation of this Decision./.

Recipients:

- As stated in Article 3;
- Chairman (for reporting)
- Filed at: Office, Securities Business Management Department (10b)

**FOR THE CHAIRMAN
VICE CHAIRMAN**

(signed and sealed)

Le Thi Viet Nga



MINISTRY OF FINANCE
STATE SECURITIES COMMISSION OF
VIET NAM

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No. 361/QĐ-UBCK

Ha Noi, June 16, 2026

DECISION

**On the Amendment of the Decision Approving the Establishment of a Securities Company
Branch**

STATE SECURITIES COMMISSION OF VIET NAM

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/NĐ-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated September 11, 2025;

Pursuant to Decision No. 686/QĐ-BTC dated February 28, 2025 of the Minister of Finance defining the functions, duties, powers and organizational structure of the State Securities Commission of Viet Nam;

Pursuant to Securities Business Operation License No. 06/GPHĐKD dated June 29, 2000 granted by the Chairman of the State Securities Commission to ACB Securities Company;

Pursuant to Decision No. 570/QĐ-UBCK dated October 9, 2007 of the Chairman of the State Securities Commission approving the establishment of a branch of a securities company, whereby ACB Securities Company was approved to establish ACB Securities Company – Hai Phong Branch;

Pursuant to the application dossier for updating information on the location of Hai Phong Branch submitted by ACB Securities Company due to changes in administrative boundaries;

At the proposal of the Director of the Securities Business Management Department.

DECIDES:

Article 1. To amend Decision No. 570/QĐ-UBCK dated October 9, 2007 of the Chairman of the State Securities Commission approving the establishment of a securities company branch, whereby ACB Securities Company was approved to establish ACB Securities Company – Hai Phong Branch, with the following amendment:

Branch location: 3rd Floor, No. 15 Hoang Dieu, Hong Bang Ward, Hai Phong City.

Article 2. This Decision shall take effect from the date of signing and shall constitute an integral part of Securities Business Operation License No. 06/GPHĐKD dated June 29, 2000 granted to ACB

Securities Company and Decision No. 570/QĐ-UBCK dated October 9, 2007 of the Chairman of the State Securities Commission approving the establishment of a securities company branch, whereby ACB Securities Company was approved to establish ACB Securities Company – Hai Phong Branch.

Article 3. The Chief of Office, Director of the Securities Business Management Department, Chief Executive Officer of the Vietnam Exchange, Chief Executive Officers of the Ho Chi Minh City Stock Exchange and Hanoi Stock Exchange, Chief Executive Officer of the Vietnam Securities Depository and Clearing Corporation, ACB Securities Company, and related parties shall be responsible for the implementation of this Decision./.

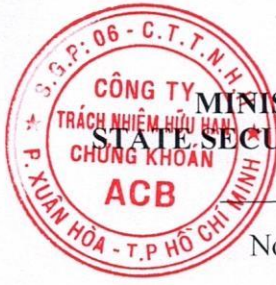
Recipients:

- As stated in Article 3;
- Chairman (for reporting)
- Filed at: Office, Securities Business Management Department (10b)

**FOR THE CHAIRMAN
VICE CHAIRMAN**

(signed and sealed)

Le Thi Viet Nga



MINISTRY OF FINANCE
STATE SECURITIES COMMISSION OF
VIET NAM

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No. 362/QĐ-UBCK

Ha Noi, June 16, 2026

DECISION

On the Amendment of the Decision Approving the Establishment of a Securities Company Transaction Office

STATE SECURITIES COMMISSION OF VIET NAM

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/NĐ-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated September 11, 2025;

Pursuant to Decision No. 686/QĐ-BTC dated February 28, 2025 of the Minister of Finance defining the functions, duties, powers and organizational structure of the State Securities Commission of Viet Nam;

Pursuant to Securities Business Operation License No. 06/GPHDKD dated June 29, 2000 granted by the Chairman of the State Securities Commission to ACB Securities Company;

Pursuant to Decision No. 232/QĐ-UBCK dated April 14, 2010 of the Chairman of the State Securities Commission approving the establishment of a branch of a securities company, whereby ACB Securities Company was approved to establish ACB Securities Company – Nguyen Thi Minh Khai Transaction Office;

Pursuant to the application dossier for updating information on the location of Nguyen Thi Minh Khai Transaction Office submitted by ACB Securities Company due to changes in administrative boundaries;

At the proposal of the Director of the Securities Business Management Department.

DECIDES:

Article 1. To amend Decision No. 232/QĐ-UBCK dated April 14, 2010 of the Chairman of the State Securities Commission approving the establishment of a securities company transaction office, whereby ACB Securities Company was approved to establish ACB Securities Company – Nguyen Thi Minh Khai Transaction Office, with the following amendment:

Transaction office location: No. 442 Nguyen Thi Minh Khai, Ban Co Ward, Hai Phong City.

Article 2. This Decision shall take effect from the date of signing and shall constitute an integral part of Securities Business Operation License No. 06/GPHDKD dated June 29, 2000 granted to ACB Securities Company and Decision No. 232/QĐ-UBCK dated April 14, 2010 of the Chairman of the State Securities Commission approving the establishment of a securities company transaction office, whereby ACB Securities Company was approved to establish ACB Securities Company – Nguyen Thi Minh Khai Transaction Office.

Article 3. The Chief of Office, Director of the Securities Business Management Department, Chief Executive Officer of the Vietnam Exchange, Chief Executive Officers of the Ho Chi Minh City Stock Exchange and Hanoi Stock Exchange, Chief Executive Officer of the Vietnam Securities Depository and Clearing Corporation, ACB Securities Company, and related parties shall be responsible for the implementation of this Decision./.

Recipients:

- As stated in Article 3;
- Chairman (for reporting)
- Filed at: Office, Securities Business Management Department (10b)

**FOR THE CHAIRMAN
VICE CHAIRMAN**

(signed and sealed)

Le Thi Viet Nga