CÔNG TY TNHH CHỨNG KHOÁN ACB *ACB SECURITIES COMPANY* ----------	**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM** *THE SOCIALIST REPUBLIC OF VIETNAM* **Độc lập - Tự do - Hạnh phúc** *Independence - Freedom - Happiness* ----------
Số: ...209.4../CV-ACBS.26 *No: ..209.4./ CV-ACBS.26*	TP. HCM, ngày 13 tháng 11 năm 2026 *HCMC, November 13, 2026*

CÔNG BỐ THÔNG TIN BẤT THƯỜNG
EXTRAORDINARY INFORMATION DISCLOSURE

Kính gửi/ *To*: Ủy ban chứng khoán Nhà nước/ *The State Securities Commission of Vietnam;*
Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Exchange;*
Sở Giao dịch Chứng khoán Hà Nội/ *Hanoi Stock Exchange;*
Sở Giao dịch Chứng khoán TP. Hồ Chí Minh/ *Hochiminh Stock Exchange.*

1. Tên tổ chức: **Công ty TNHH Chứng khoán ACB**

   *Name of organization: **ACB Securities Company***

- Mã thành viên/ *Broker code*: 006
- Địa chỉ: Tầng 3 Tòa nhà Léman Luxury, số 117 Nguyễn Đình Chiểu, phường Xuân Hòa, TP. Hồ Chí Minh.

  Address: 3rd Floor, Léman Luxury Building, 117 Nguyen Dinh Chieu Street, Xuan Hoa Ward, HCMC.
- Điện thoại: (028) 7300 7000 Fax: (028) 7300 3751

  Telephone: (028) 7300 7000 *Fax: (028) 7300 3751*
- E-mail: *cbtt@acbs.com.vn*

2. Nội dung thông tin công bố/ ***Contents of disclosure***:

Ngày 13/11/2026, Công ty TNHH Chứng khoán ACB (ACBS) nhận được Giấy chứng nhận đăng ký chào bán chứng quyền có bảo đảm đối với 10 chứng quyền do Ủy ban Chứng khoán Nhà Nước cấp ngày 12/11/2026 như sau:

On November 13, 2026, ACB Securities Company (ACBS) received Certificate of registration for the offering of covered warrant for 10 covered warrant granted by State Securities Commission of Vietnam dated November 12, 2026 as follows:

1. Giấy chứng nhận đăng ký chào bán chứng quyền có bảo đảm đối với Chứng quyền FPT/ACBS/9M/128;

   Certificate of registration for the offering of covered warrant for Cover Warrant FPT/ACBS/9M/128;

2. Giấy chứng nhận đăng ký chào bán chứng quyền có bảo đảm đối với Chứng quyền HPG/ACBS/9M/129;
   Certificate of registration for the offering of covered warrant for Cover Warrant HPG/ACBS/9M/129;
3. Giấy chứng nhận đăng ký chào bán chứng quyền có bảo đảm đối với Chứng quyền MBB/ACBS/9M/130;
   Certificate of registration for the offering of covered warrant for Cover Warrant MBB/ACBS/9M/130;
4. Giấy chứng nhận đăng ký chào bán chứng quyền có bảo đảm đối với Chứng quyền MSN/ACBS/9M/131;
   Certificate of registration for the offering of covered warrant for Cover Warrant MSN/ACBS/9M/131;
5. Giấy chứng nhận đăng ký chào bán chứng quyền có bảo đảm đối với Chứng quyền MWG/ACBS/9M/132;
   Certificate of registration for the offering of covered warrant for Cover Warrant MWG/ACBS/9M/132;
6. Giấy chứng nhận đăng ký chào bán chứng quyền có bảo đảm đối với Chứng quyền STB/ACBS/9M/133;
   Certificate of registration for the offering of covered warrant for Cover Warrant STB/ACBS/9M/133;
7. Giấy chứng nhận đăng ký chào bán chứng quyền có bảo đảm đối với Chứng quyền TCB/ACBS/9M/134;
   Certificate of registration for the offering of covered warrant for Cover Warrant TCB/ACBS/9M/134;
8. Giấy chứng nhận đăng ký chào bán chứng quyền có bảo đảm đối với Chứng quyền VIB/ACBS/9M/135;
   Certificate of registration for the offering of covered warrant for Cover Warrant VIB/ACBS/9M/135;
9. Giấy chứng nhận đăng ký chào bán chứng quyền có bảo đảm đối với Chứng quyền VNM/ACBS/9M/136;
   Certificate of registration for the offering of covered warrant for Cover Warrant VNM/ACBS/9M/136;
10. Giấy chứng nhận đăng ký chào bán chứng quyền có bảo đảm đối với Chứng quyền VPB/ACBS/9M/137;
    Certificate of registration for the offering of covered warrant for Cover Warrant VPB/ACBS/9M/137;

Chi tiết theo file đính kèm/ *Details in attached file.*

3. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 13/11/2026 tại đường dẫn http://acbs.com.vn.

This information was published on the company's website on November 13, 2026, as in the link http://acbs.com.vn.

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.

Tài liệu đính kèm/Attached documents:

Tài liệu liên quan đến nội dung thông tin công bố/ *Documents on disclosed information.*

Đại diện tổ chức
Organization representative
Người được UQ CBTT
Person authorized to disclose information
Giám đốc Nhân sự
Chief Human Resources Officer

S.G.P: 06 - C.T.T.N.H.H
CÔNG TY TRÁCH NHIỆM HỮU HẠN CHỨNG KHOÁN ACB
P. XUÂN HÒA - T.P HỒ CHÍ MINH

Võ Đình Nam

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION OF VIETNAM

No.: 673/GCN-UBCK

THE SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

Hanoi, November 12, 2026

CERTIFICATE
Registration for the Offering of Covered Warrant

CHAIRPERSON OF THE STATE SECURITIES COMMISSION OF VIETNAM

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/ND-CP dated 31 December 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/ND-CP dated 11 September 2025;

Pursuant to Decision No. 686/QD-BTC dated 28 February 2025 of the Minister of Finance prescribing the functions, duties, powers and organizational structure of the State Securities Commission of Vietnam;

Pursuant to Circular No. 122/2025/TT-BTC dated December 22, 2025 of the Minister of Finance providing guidance on the offering of covered warrants;

Pursuant to Securities Business License No. 06/GPHDKD dated June 29, 2000 issued by the Chairperson of the State Securities Commission of Vietnam to ACB Securities Company;

Pursuant to the Dossier for registration of the offering of covered warrants submitted by ACB Securities Company;

At the proposal of the Head of the Securities Business Management Department.

DECIDES:

Article 1. To grant the Certificate of Registration for the offering of covered warrants to ACB Securities Company with the following details:

1. Name of the warrant: Covered Warrant FPT/ACBS/9M/128
2. Name (code) of the underlying securities: FPT
3. Issuer of the underlying securities: FPT Joint Stock Company
4. Offering volume: 16,000,000 warrants
5. Conversion ratio: 10:1
6. Warrant maturity: 9 months
7. Type of warrant: Call
8. Style of warrant: Covered warrant that can only be exercised by the holder on the maturity date
9. Method of settlement upon exercise: Cash settlement
10. Custodian bank for collateral for settlement: Joint Stock Commercial Bank for Investment and Development of Vietnam – Nam Ky Khoi Nghia Branch

Article 2. ACB Securities Company shall comply with Vietnamese laws and regulations on securities and the securities market and with the contents stated in this Certificate, and shall bear full responsibility before the law for the legality, accuracy, truthfulness, and completeness of the dossier.

Article 3. This Certificate shall take effect from the date of signing.

Article 4. This Certificate is issued in 05 originals: 01 original issued to ACB Securities Company; 01 original retained at the State Securities Commission of Vietnam; 01 original sent to the Hochiminh Stock Exchange; 01 original sent to the Vietnam Securities Depository and Clearing Corporation; 01 original sent to the Joint Stock Commercial Bank for Investment and Development of Vietnam – Nam Ky Khoi Nghia Branch.

ON BEHALF OF THE CHAIRPERSON
DEPUTY CHAIRPERSON

(Signed and sealed)

Le Thi Viet Nga

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION OF VIETNAM

THE SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No.: 674/GCN-UBCK

Hanoi, November 12, 2026

CERTIFICATE
Registration for the Offering of Covered Warrant

CHAIRPERSON OF THE STATE SECURITIES COMMISSION OF VIETNAM

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/ND-CP dated 31 December 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/ND-CP dated 11 September 2025;

Pursuant to Decision No. 686/QD-BTC dated 28 February 2025 of the Minister of Finance prescribing the functions, duties, powers and organizational structure of the State Securities Commission of Vietnam;

Pursuant to Circular No. 122/2025/TT-BTC dated December 22, 2025 of the Minister of Finance providing guidance on the offering of covered warrants;

Pursuant to Securities Business License No. 06/GPHDKD dated June 29, 2000 issued by the Chairperson of the State Securities Commission of Vietnam to ACB Securities Company;

Pursuant to the Dossier for registration of the offering of covered warrants submitted by ACB Securities Company;

At the proposal of the Head of the Securities Business Management Department.

DECIDES:

Article 1. To grant the Certificate of Registration for the offering of covered warrants to ACB Securities Company with the following details:

1. Name of the warrant: Covered Warrant HPG/ACBS/9M/129.
2. Name (code) of the underlying securities: HPG.
3. Issuer of the underlying securities: Hoa Phat Group Joint Stock Company.
4. Offering volume: 24,000,000 warrants.
5. Conversion ratio: 3:1.
6. Warrant maturity: 9 months.
7. Type of warrant: Call.
8. Style of warrant: Covered warrant that can only be exercised by the holder on the maturity date.
9. Method of settlement upon exercise: Cash settlement.
10. Custodian bank for collateral for settlement: Joint Stock Commercial Bank for Investment and Development of Vietnam – Nam Ky Khoi Nghia Branch.

Article 2. ACB Securities Company shall comply with Vietnamese laws and regulations on securities and the securities market and with the contents stated in this Certificate, and shall bear full responsibility before the law for the legality, accuracy, truthfulness, and completeness of the dossier.

Article 3. This Certificate shall take effect from the date of signing.

Article 4. This Certificate is issued in 05 originals: 01 original issued to ACB Securities Company; 01 original retained at the State Securities Commission of Vietnam; 01 original sent to the Hochiminh Stock Exchange; 01 original sent to the Vietnam Securities Depository and Clearing Corporation; 01 original sent to the Joint Stock Commercial Bank for Investment and Development of Vietnam – Nam Ky Khoi Nghia Branch./.

ON BEHALF OF THE CHAIRPERSON
DEPUTY CHAIRPERSON

(Signed and sealed)

Le Thi Viet Nga

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION OF VIETNAM

THE SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No.: 675/GCN-UBCK

Hanoi, November 12, 2026

CERTIFICATE
Registration for the Offering of Covered Warrant

CHAIRPERSON OF THE STATE SECURITIES COMMISSION OF VIETNAM

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/ND-CP dated 31 December 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/ND-CP dated 11 September 2025;

Pursuant to Decision No. 686/QD-BTC dated 28 February 2025 of the Minister of Finance prescribing the functions, duties, powers and organizational structure of the State Securities Commission of Vietnam;

Pursuant to Circular No. 122/2025/TT-BTC dated December 22, 2025 of the Minister of Finance providing guidance on the offering of covered warrants;

Pursuant to Securities Business License No. 06/GPHDKD dated June 29, 2000 issued by the Chairperson of the State Securities Commission of Vietnam to ACB Securities Company;

Pursuant to the Dossier for registration of the offering of covered warrants submitted by ACB Securities Company;

At the proposal of the Head of the Securities Business Management Department.

DECIDES:

Article 1. To grant the Certificate of Registration for the offering of covered warrants to ACB Securities Company with the following details:

1. Name of the warrant: Covered Warrant MBB/ACBS/9M/130.
2. Name (code) of the underlying securities: MBB.
3. Issuer of the underlying securities: Military Commercial Joint Stock Bank.
4. Offering volume: 24,000,000 warrants.
5. Conversion ratio: 4:1.
6. Warrant maturity: 9 months.
7. Type of warrant: Call.
8. Style of warrant: Covered warrant that can only be exercised by the holder on the maturity date.
9. Method of settlement upon exercise: Cash settlement.
10. Custodian bank for collateral for settlement: Joint Stock Commercial Bank for Investment and Development of Vietnam – Nam Ky Khoi Nghia Branch.

Article 2. ACB Securities Company shall comply with Vietnamese laws and regulations on securities and the securities market and with the contents stated in this Certificate, and shall bear full responsibility before the law for the legality, accuracy, truthfulness, and completeness of the dossier.

Article 3. This Certificate shall take effect from the date of signing.

Article 4. This Certificate is issued in 05 originals: 01 original issued to ACB Securities Company; 01 original retained at the State Securities Commission of Vietnam; 01 original sent to the Hochiminh Stock Exchange; 01 original sent to the Vietnam Securities Depository and Clearing Corporation; 01 original sent to the Joint Stock Commercial Bank for Investment and Development of Vietnam – Nam Ky Khoi Nghia Branch./.

ON BEHALF OF THE CHAIRPERSON
DEPUTY CHAIRPERSON

(Signed and sealed)

Le Thi Viet Nga

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION OF VIETNAM

THE SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No.: 676/GCN-UBCK

Hanoi, November 12, 2026

CERTIFICATE
Registration for the Offering of Covered Warrant

CHAIRPERSON OF THE STATE SECURITIES COMMISSION OF VIETNAM

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/ND-CP dated 31 December 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/ND-CP dated 11 September 2025;

Pursuant to Decision No. 686/QD-BTC dated 28 February 2025 of the Minister of Finance prescribing the functions, duties, powers and organizational structure of the State Securities Commission of Vietnam;

Pursuant to Circular No. 122/2025/TT-BTC dated December 22, 2025 of the Minister of Finance providing guidance on the offering of covered warrants;

Pursuant to Securities Business License No. 06/GPHDKD dated June 29, 2000 issued by the Chairperson of the State Securities Commission of Vietnam to ACB Securities Company;

Pursuant to the Dossier for registration of the offering of covered warrants submitted by ACB Securities Company;

At the proposal of the Head of the Securities Business Management Department.

DECIDES:

Article 1. To grant the Certificate of Registration for the offering of covered warrants to ACB Securities Company with the following details:

1. Name of the warrant: Covered Warrant MSN/ACBS/9M/131.
2. Name (code) of the underlying securities: MSN.
3. Issuer of the underlying securities: Masan Group Corporation.
4. Offering volume: 16,000,000 warrants.
5. Conversion ratio: 10:1.
6. Warrant maturity: 9 months.
7. Type of warrant: Call.
8. Style of warrant: Covered warrant that can only be exercised by the holder on the maturity date.
9. Method of settlement upon exercise: Cash settlement.
10. Custodian bank for collateral for settlement: Joint Stock Commercial Bank for Investment and Development of Vietnam – Nam Ky Khoi Nghia Branch.

Article 2. ACB Securities Company shall comply with Vietnamese laws and regulations on securities and the securities market and with the contents stated in this Certificate, and shall bear full responsibility before the law for the legality, accuracy, truthfulness, and completeness of the dossier.

Article 3. This Certificate shall take effect from the date of signing.

Article 4. This Certificate is issued in 05 originals: 01 original issued to ACB Securities Company; 01 original retained at the State Securities Commission of Vietnam; 01 original sent to the Hochiminh Stock Exchange; 01 original sent to the Vietnam Securities Depository and Clearing Corporation; 01 original sent to the Joint Stock Commercial Bank for Investment and Development of Vietnam – Nam Ky Khoi Nghia Branch./.

ON BEHALF OF THE CHAIRPERSON
DEPUTY CHAIRPERSON

(Signed and sealed)

Le Thi Viet Nga

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION OF VIETNAM

THE SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No.: 677/GCN-UBCK

Hanoi, November 12, 2026

CERTIFICATE
Registration for the Offering of Covered Warrant

CHAIRPERSON OF THE STATE SECURITIES COMMISSION OF VIETNAM

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/ND-CP dated 31 December 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/ND-CP dated 11 September 2025;

Pursuant to Decision No. 686/QD-BTC dated 28 February 2025 of the Minister of Finance prescribing the functions, duties, powers and organizational structure of the State Securities Commission of Vietnam;

Pursuant to Circular No. 122/2025/TT-BTC dated December 22, 2025 of the Minister of Finance providing guidance on the offering of covered warrants;

Pursuant to Securities Business License No. 06/GPHDKD dated June 29, 2000 issued by the Chairperson of the State Securities Commission of Vietnam to ACB Securities Company;

Pursuant to the Dossier for registration of the offering of covered warrants submitted by ACB Securities Company;

At the proposal of the Head of the Securities Business Management Department.

DECIDES:

Article 1. To grant the Certificate of Registration for the offering of covered warrants to ACB Securities Company with the following details:

1. Name of the warrant: Covered Warrant MWG/ACBS/9M/132.
2. Name (code) of the underlying securities: MWG.
3. Issuer of the underlying securities: Mobile World Investment Corporation.
4. Offering volume: 24,000,000 warrants.
5. Conversion ratio: 10:1.
6. Warrant maturity: 9 months.
7. Type of warrant: Call.
8. Style of warrant: Covered warrant that can only be exercised by the holder on the maturity date.
9. Method of settlement upon exercise: Cash settlement.
10. Custodian bank for collateral for settlement: Joint Stock Commercial Bank for Investment and Development of Vietnam – Nam Ky Khoi Nghia Branch.

Article 2. ACB Securities Company shall comply with Vietnamese laws and regulations on securities and the securities market and with the contents stated in this Certificate, and shall bear full responsibility before the law for the legality, accuracy, truthfulness, and completeness of the dossier.

Article 3. This Certificate shall take effect from the date of signing.

Article 4. This Certificate is issued in 05 originals: 01 original issued to ACB Securities Company; 01 original retained at the State Securities Commission of Vietnam; 01 original sent to the Hochiminh Stock Exchange; 01 original sent to the Vietnam Securities Depository and Clearing Corporation; 01 original sent to the Joint Stock Commercial Bank for Investment and Development of Vietnam – Nam Ky Khoi Nghia Branch./.

ON BEHALF OF THE CHAIRPERSON
DEPUTY CHAIRPERSON

(Signed and sealed)

Le Thi Viet Nga

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION OF VIETNAM

THE SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No.: 678/GCN-UBCK

Hanoi, November 12, 2026

CERTIFICATE
Registration for the Offering of Covered Warrant

CHAIRPERSON OF THE STATE SECURITIES COMMISSION OF VIETNAM

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/ND-CP dated 31 December 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/ND-CP dated 11 September 2025;

Pursuant to Decision No. 686/QD-BTC dated 28 February 2025 of the Minister of Finance prescribing the functions, duties, powers and organizational structure of the State Securities Commission of Vietnam;

Pursuant to Circular No. 122/2025/TT-BTC dated December 22, 2025 of the Minister of Finance providing guidance on the offering of covered warrants;

Pursuant to Securities Business License No. 06/GPHDKD dated June 29, 2000 issued by the Chairperson of the State Securities Commission of Vietnam to ACB Securities Company;

Pursuant to the Dossier for registration of the offering of covered warrants submitted by ACB Securities Company;

At the proposal of the Head of the Securities Business Management Department.

DECIDES:

Article 1. To grant the Certificate of Registration for the offering of covered warrants to ACB Securities Company with the following details:

1. Name of the warrant: Covered Warrant STB/ACBS/9M/133.
2. Name (code) of the underlying securities: STB.
3. Issuer of the underlying securities: Saigon Wealth Commercial Joint Stock Bank.
4. Offering volume: 16,000,000 warrants.
5. Conversion ratio: 11:1.
6. Warrant maturity: 9 months.
7. Type of warrant: Call.
8. Style of warrant: Covered warrant that can only be exercised by the holder on the maturity date.
9. Method of settlement upon exercise: Cash settlement.
10. Custodian bank for collateral for settlement: Joint Stock Commercial Bank for Investment and Development of Vietnam – Nam Ky Khoi Nghia Branch.

Article 2. ACB Securities Company shall comply with Vietnamese laws and regulations on securities and the securities market and with the contents stated in this Certificate, and shall bear full responsibility before the law for the legality, accuracy, truthfulness, and completeness of the dossier.

Article 3. This Certificate shall take effect from the date of signing.

Article 4. This Certificate is issued in 05 originals: 01 original issued to ACB Securities Company; 01 original retained at the State Securities Commission of Vietnam; 01 original sent to the Hochiminh Stock Exchange; 01 original sent to the Vietnam Securities Depository and Clearing Corporation; 01 original sent to the Joint Stock Commercial Bank for Investment and Development of Vietnam – Nam Ky Khoi Nghia Branch./.

ON BEHALF OF THE CHAIRPERSON
DEPUTY CHAIRPERSON

(Signed and sealed)

Le Thi Viet Nga

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION OF VIETNAM

THE SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No.: 679/GCN-UBCK

Hanoi, November 12, 2026

CERTIFICATE
Registration for the Offering of Covered Warrant

CHAIRPERSON OF THE STATE SECURITIES COMMISSION OF VIETNAM

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/ND-CP dated 31 December 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/ND-CP dated 11 September 2025;

Pursuant to Decision No. 686/QD-BTC dated 28 February 2025 of the Minister of Finance prescribing the functions, duties, powers and organizational structure of the State Securities Commission of Vietnam;

Pursuant to Circular No. 122/2025/TT-BTC dated December 22, 2025 of the Minister of Finance providing guidance on the offering of covered warrants;

Pursuant to Securities Business License No. 06/GPHDKD dated June 29, 2000 issued by the Chairperson of the State Securities Commission of Vietnam to ACB Securities Company;

Pursuant to the Dossier for registration of the offering of covered warrants submitted by ACB Securities Company;

At the proposal of the Head of the Securities Business Management Department.

DECIDES:

Article 1. To grant the Certificate of Registration for the offering of covered warrants to ACB Securities Company with the following details:

1. Name of the warrant: Covered Warrant TCB/ACBS/9M/134.
2. Name (code) of the underlying securities: TCB.
3. Issuer of the underlying securities: Vietnam Technological and Commercial Joint Stock Bank.
4. Offering volume: 16,000,000 warrants.
5. Conversion ratio: 4:1.
6. Warrant maturity: 9 months.
7. Type of warrant: Call.
8. Style of warrant: Covered warrant that can only be exercised by the holder on the maturity date.
9. Method of settlement upon exercise: Cash settlement.

10. Custodian bank for collateral for settlement: Joint Stock Commercial Bank for Investment and Development of Vietnam – Nam Ky Khoi Nghia Branch.

Article 2. ACB Securities Company shall comply with Vietnamese laws and regulations on securities and the securities market and with the contents stated in this Certificate, and shall bear full responsibility before the law for the legality, accuracy, truthfulness, and completeness of the dossier.

Article 3. This Certificate shall take effect from the date of signing.

Article 4. This Certificate is issued in 05 originals: 01 original issued to ACB Securities Company; 01 original retained at the State Securities Commission of Vietnam; 01 original sent to the Hochiminh Stock Exchange; 01 original sent to the Vietnam Securities Depository and Clearing Corporation; 01 original sent to the Joint Stock Commercial Bank for Investment and Development of Vietnam – Nam Ky Khoi Nghia Branch./.

ON BEHALF OF THE CHAIRPERSON
DEPUTY CHAIRPERSON

(Signed and sealed)

Le Thi Viet Nga

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION OF VIETNAM

THE SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No.: 680/GCN-UBCK

Hanoi, November 12, 2026

CERTIFICATE
Registration for the Offering of Covered Warrant

CHAIRPERSON OF THE STATE SECURITIES COMMISSION OF VIETNAM

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/ND-CP dated 31 December 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/ND-CP dated 11 September 2025;

Pursuant to Decision No. 686/QD-BTC dated 28 February 2025 of the Minister of Finance prescribing the functions, duties, powers and organizational structure of the State Securities Commission of Vietnam;

Pursuant to Circular No. 122/2025/TT-BTC dated December 22, 2025 of the Minister of Finance providing guidance on the offering of covered warrants;

Pursuant to Securities Business License No. 06/GPHDKD dated June 29, 2000 issued by the Chairperson of the State Securities Commission of Vietnam to ACB Securities Company;

Pursuant to the Dossier for registration of the offering of covered warrants submitted by ACB Securities Company;

At the proposal of the Head of the Securities Business Management Department.

DECIDES:

Article 1. To grant the Certificate of Registration for the offering of covered warrants to ACB Securities Company with the following details:

1. Name of the warrant: Covered Warrant VIB/ACBS/9M/135.
2. Name (code) of the underlying securities: VIB.
3. Issuer of the underlying securities: Vietnam International Commercial Joint Stock Bank.
4. Offering volume: 16,000,000 warrants.
5. Conversion ratio: 2:1.
6. Warrant maturity: 9 months.
7. Type of warrant: Call.
8. Style of warrant: Covered warrant that can only be exercised by the holder on the maturity date.
9. Method of settlement upon exercise: Cash settlement.
10. Custodian bank for collateral for settlement: Joint Stock Commercial Bank for Investment and Development of Vietnam – Nam Ky Khoi Nghia Branch.

Article 2. ACB Securities Company shall comply with Vietnamese laws and regulations on securities and the securities market and with the contents stated in this Certificate, and shall bear full responsibility before the law for the legality, accuracy, truthfulness, and completeness of the dossier.

Article 3. This Certificate shall take effect from the date of signing.

Article 4. This Certificate is issued in 05 originals: 01 original issued to ACB Securities Company; 01 original retained at the State Securities Commission of Vietnam; 01 original sent to the Hochiminh Stock Exchange; 01 original sent to the Vietnam Securities Depository and Clearing Corporation; 01 original sent to the Joint Stock Commercial Bank for Investment and Development of Vietnam – Nam Ky Khoi Nghia Branch./.

ON BEHALF OF THE CHAIRPERSON
DEPUTY CHAIRPERSON

(Signed and sealed)

Le Thi Viet Nga

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION OF VIETNAM

THE SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No.: 681/GCN-UBCK

Hanoi, November 12, 2026

CERTIFICATE
Registration for the Offering of Covered Warrant

CHAIRPERSON OF THE STATE SECURITIES COMMISSION OF VIETNAM

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/ND-CP dated 31 December 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/ND-CP dated 11 September 2025;

Pursuant to Decision No. 686/QD-BTC dated 28 February 2025 of the Minister of Finance prescribing the functions, duties, powers and organizational structure of the State Securities Commission of Vietnam;

Pursuant to Circular No. 122/2025/TT-BTC dated December 22, 2025 of the Minister of Finance providing guidance on the offering of covered warrants;

Pursuant to Securities Business License No. 06/GPHDKD dated June 29, 2000 issued by the Chairperson of the State Securities Commission of Vietnam to ACB Securities Company;

Pursuant to the Dossier for registration of the offering of covered warrants submitted by ACB Securities Company;

At the proposal of the Head of the Securities Business Management Department.

DECIDES:

Article 1. To grant the Certificate of Registration for the offering of covered warrants to ACB Securities Company with the following details:

1. Name of the warrant: Covered Warrant VNM/ACBS/9M/136.
2. Name (code) of the underlying securities: VNM.
3. Issuer of the underlying securities: Vietnam Dairy Products Joint Stock Company.
4. Offering volume: 16,000,000 warrants.
5. Conversion ratio: 9:1.
6. Warrant maturity: 9 months.
7. Type of warrant: Call.
8. Style of warrant: Covered warrant that can only be exercised by the holder on the maturity date.
9. Method of settlement upon exercise: Cash settlement.
10. Custodian bank for collateral for settlement: Joint Stock Commercial Bank for Investment and Development of Vietnam – Nam Ky Khoi Nghia Branch.

Article 2. ACB Securities Company shall comply with Vietnamese laws and regulations on securities and the securities market and with the contents stated in this Certificate, and shall bear full responsibility before the law for the legality, accuracy, truthfulness, and completeness of the dossier.

Article 3. This Certificate shall take effect from the date of signing.

Article 4. This Certificate is issued in 05 originals: 01 original issued to ACB Securities Company; 01 original retained at the State Securities Commission of Vietnam; 01 original sent to the Hochiminh Stock Exchange; 01 original sent to the Vietnam Securities Depository and Clearing Corporation; 01 original sent to the Joint Stock Commercial Bank for Investment and Development of Vietnam – Nam Ky Khoi Nghia Branch./.

ON BEHALF OF THE CHAIRPERSON
DEPUTY CHAIRPERSON

(Signed and sealed)

Le Thi Viet Nga

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION OF VIETNAM

THE SOCIALIST REPUBLIC OF VIETNAM
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No.: 682/GCN-UBCK

Hanoi, November 12, 2026

CERTIFICATE
Registration for the Offering of Covered Warrant

CHAIRPERSON OF THE STATE SECURITIES COMMISSION OF VIETNAM

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/ND-CP dated 31 December 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/ND-CP dated 11 September 2025;

Pursuant to Decision No. 686/QD-BTC dated 28 February 2025 of the Minister of Finance prescribing the functions, duties, powers and organizational structure of the State Securities Commission of Vietnam;

Pursuant to Circular No. 122/2025/TT-BTC dated December 22, 2025 of the Minister of Finance providing guidance on the offering of covered warrants;

Pursuant to Securities Business License No. 06/GPHDKD dated June 29, 2000 issued by the Chairperson of the State Securities Commission of Vietnam to ACB Securities Company;

Pursuant to the Dossier for registration of the offering of covered warrants submitted by ACB Securities Company;

At the proposal of the Head of the Securities Business Management Department.

DECIDES:

Article 1. To grant the Certificate of Registration for the offering of covered warrants to ACB Securities Company with the following details:

1. Name of the warrant: Covered Warrant VPB/ACBS/9M/137.
2. Name (code) of the underlying securities: VPB.
3. Issuer of the underlying securities: Vietnam Prosperity Joint Stock Commercial Bank.
4. Offering volume: 24,000,000 warrants.
5. Conversion ratio: 4:1.
6. Warrant maturity: 9 months.
7. Type of warrant: Call.
8. Style of warrant: Covered warrant that can only be exercised by the holder on the maturity date.
9. Method of settlement upon exercise: Cash settlement.
10. Custodian bank for collateral for settlement: Joint Stock Commercial Bank for Investment and Development of Vietnam – Nam Ky Khoi Nghia Branch.

Article 2. ACB Securities Company shall comply with Vietnamese laws and regulations on securities and the securities market and with the contents stated in this Certificate, and shall bear full responsibility before the law for the legality, accuracy, truthfulness, and completeness of the dossier.

Article 3. This Certificate shall take effect from the date of signing.

Article 4. This Certificate is issued in 05 originals: 01 original issued to ACB Securities Company; 01 original retained at the State Securities Commission of Vietnam; 01 original sent to the Hochiminh Stock Exchange; 01 original sent to the Vietnam Securities Depository and Clearing Corporation; 01 original sent to the Joint Stock Commercial Bank for Investment and Development of Vietnam – Nam Ky Khoi Nghia Branch./.

ON BEHALF OF THE CHAIRPERSON
DEPUTY CHAIRPERSON

(Signed and sealed)

Le Thi Viet Nga